

Departmental Offices

Performance Plans for FY2002 and FY2003

Actual Performance Figures are in **bold**, Final Targets are in plain text, and Proposed Targets are *italicized*.

Targets which were not met are shown in red.

Mission: The Mission of Departmental Offices is to formulate and oversee implementation of domestic and international financial, tax, economic, enforcement, and management policies of the Federal government. These policies are formulated and implemented to ensure the growth and stability of the US economy; strengthen the recognition of the US as a world leader; promote domestic and international security and prosperity; and ensure the program, financial, and information technology effectiveness, efficiency, and integrity of all Treasury organizations.

Budget Activity: Enforcement Policies and Programs

Function: To formulate policies that promote and protect Treasury's law enforcement interests, and to facilitate communications with other Executive Branch departments on enforcement issues.

Performance Goal:

Effective oversight of law enforcement bureaus

<u>Performance Measure(s):</u>	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
View Definition and Validation					
Percentage of performance targets met by enforcement bureaus and major offices (USCS, ATF, USSS, FLETC, FinCEN, TFF)	64%	77%	79%	85%	85%
	View Explanation	View Explanation	View Explanation		

Performance Goal:

Deny financing to terrorist organization

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
--	--------------------------	--------------------------	--------------------------	------------------------------	---------------------------------

Performance Measure(s):

View Definition and Validation

Increase the number of U.S. assets frozen and the number of U.S. transactions blocked of terrorist financing individuals or organizations by 10% from the baseline year [BASELINE IN FY 2002]

View Definition and Validation

Percentage of terrorist organization accounts frozen of those identified during the fiscal year [BASELINE IN FY 2002]

Budget Activity: Economic Policies and Programs**Function:** Office of Economic Policy
International Affairs

Performance Goal:

Promote Economic Growth and Sound Policies in Developing and Transitional Economies.

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
Economic conditions in developing countries	Met View Explanation	Met View Explanation	4 View Explanation	Met	<i>Met</i>

View Definition and Validation					
Economic conditions in transitional countries	Met View Explanation	Met View Explanation	4.9 View Explanation	Met	<i>Met</i>

Performance Goal:

Strengthen International Financial Institutions that Promote Global Stability and Support Developing and Transitional Economies.

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
U.S. meets current financing commitments and pays all arrears to multilateral development banks (\$ in millions)	\$335	\$451	\$499 View Explanation	\$533	\$533

Performance Goal:

Monitor the Global Economy and Promote International Economic Leadership Through Cooperation on Economic Policy.

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
Economic conditions in foreign countries that are major U.S. trading partners - Canada	Met View Explanation	Met View Explanation	1.5 View Explanation	Met	<i>Met</i>
View Definition and Validation					
Economic conditions in foreign countries that are major U.S. trading partners - European Union (Euro Area)	Met View Explanation	Met View Explanation	1.5 View Explanation	Met	<i>Met</i>
View Definition and Validation					
Economic conditions in foreign countries that are major U.S. trading partners - United Kingdom	Met View Explanation	3 View Explanation	2.4 View Explanation	Met	<i>Met</i>

View Definition and Validation Economic conditions in foreign countries that are major U.S. trading partners - Mexico	Met View Explanation	6.7 View Explanation	Met View Explanation	Met	<i>Met</i>
---	-----------------------------------	-----------------------------------	-----------------------------------	-----	------------

View Definition and Validation Economic conditions in foreign countries that are major U.S. trading partners - Japan	Met View Explanation	Met View Explanation	-0.4 View Explanation	Met	<i>Met</i>
--	-----------------------------------	-----------------------------------	------------------------------------	-----	------------

View Definition and Validation Economic conditions in foreign countries that are major U.S. trading partners - China	Met	7.7 View Explanation	7.3 View Explanation	Met	<i>Met</i>
--	------------	-----------------------------------	-----------------------------------	-----	------------

View Definition and Validation Economic conditions in the global economy					
--	--	--	--	--	--

Performance Goal:

Facilitate Legitimate Trade, Enhance Access to Foreign Markets, and Enforce Trade Agreements.

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
Dollar value of U.S. exports of goods and services (\$ in billions)	Met View Explanation	Met View Explanation	1,004 View Explanation	Met	<i>Met</i>

Performance Goal:

Strengthen Stability and Efficiency of Global Capital Markets/Promote Framework for International Investment.

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
Level of U.S. direct investment abroad (\$ in billions)	Met View Explanation	Met View Explanation	View Explanation	Met	<i>Met</i>

Budget Activity: Financial Policies and Programs**Function:** Office of Domestic Finance
Office of Tax Policy

Performance Goal:

Finance the Federal Government in the most cost-effective over the long term.

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
Performance Measure(s):					
View Definition and Validation					
Percent of borrowing policies and borrowing requirements announced to financial market participants in a timely manner	100%	100%	100%	100%	100%

Performance Goal:

Effective oversight of Bureau of the Public Debt, Financial Management Service, and Community Development Financial Institutions.

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
Performance Measure(s):					
View Definition and Validation					
Percentage of performance targets met by Domestic Finance bureaus (BPD, FMS, and CDFI)	73%	83%	87.9%	90%	90%
	View Explanation	View Explanation	View Explanation		

Budget Activity: Treasury-wide Management Policies and Programs
Function: To develop and implement policies relative to the internal management of the Department and its bureaus.

Performance Goal:

Improve the accuracy, timeliness, and utility of all accounting and financial information

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
Delivery date of Treasurywide financial statement and audit opinion (Target: February/UnQualified)	Met	Met	Met	Met	<i>Met</i>
	View Explanation				

Performance Goal:

Reduce/prevent internal control/audit resolution "open items"

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
Number of open material weaknesses	49	32	28	15	<i>10</i>
		View Explanation	View Explanation		

Performance Goal:

Improve management operations by increasing the efficiency of the EEO process

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
Increase the percentage of allegations of discrimination for which Alternative Dispute Resolution processes are used				15%	20%

Performance Goal:

Ensure IT investments improve program performance and facilitate mission goals

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
Percent of new IT capital investments that are within costs, on schedule, and meeting performance targets	View Explanation	View Explanation	0%	100%	100%

Performance Goal:

Integrate bureau financial data into a Department-wide financial analysis and reporting system.

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation Percent of universal access to Department-wide financial analysis and reporting system		8%	100%	100%	100%

View Definition and Validation Percent of submission that are timely and contain quality data	50%	90%	100%	100%	100%
--	-----	-----	------	------	------

Performance Goal:

Ensure compliance with FFMIA.

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation Percent of bureaus in compliance with FFMIA financial systems requirements	54%	54%	67%	77%	

Performance Goal:

Improve computer security across the Department by ensuring that all Treasury IT systems are certified and accredited to operate.

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation Percent of all (IT) systems that are currently certified and accredited to operate		7%	15.5%	65%	70%
			View Explanation		

Performance Goal:

Ensure Non-IT Investments Improve Program Performance and Facilitate Mission Goals

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation Percent of new non-IT capital investments (over \$1,000,000) meeting costs, schedule, and performance targets (using I-TIPS to track data) [BASELINE IN FY 2002]					

Performance Goal:

Address key human capital management issues

<u>Performance Measure(s):</u>	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
View Definition and Validation					
Percent of Treasury bureaus which have adequately implemented plans to address skills gaps related to mission critical occupations; and, which have adequately implemented succession plans to address anticipated turnover in key leadership positions				50%	100%

Performance Goal:

Procure quality goods and services at a fair and reasonable price and in a timely manner.

<u>Performance Measure(s):</u>	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
View Definition and Validation Percent of contract dollars over \$25,000 that are completed	86.7%	93.8%	89%	77%	80%
View Definition and Validation Percent of contract dollars awarded to small business enterprises	35.7%	32.1%	34%	28%	28%
View Definition and Validation Percent of total eligible service contracting dollars that are awarded on a performance-based contractual action basis in FY 2002				20%	30%

View Definition and Validation

Percent of FTE on the FAIR Act inventory that will be competed in accordance with A-76 procedures

5%

15%

View Definition and Validation

Percent of applicable contract opportunities that will be posted on the government-wide point-of-entry Web site, FedBizOpps

100%

Performance Goal:

Ensure that training provided to key treasury information systems security personnel is effective.

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
Percent of key treasury information systems security personnel who assess security training as "effective" [BASELINE IN FY 2002]					

[Return to Main Report](#)

Performance Measure

Percentage of performance targets met by enforcement bureaus and major offices (USCS, ATF, USSS, FLETC, FinCEN, TFF)

Definition and Validation

Definition: The Under Secretary for Enforcement has direct oversight over the Treasury law enforcement bureaus and works to assure their goals and measures are in conformance with policy. This measure reflects the success of this oversight.

Verification and Validation: Performance reports issued by the bureaus and major offices.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Increase the number of U.S. assets frozen and the number of U.S. transactions blocked of terrorist financing individuals or organizations by 10% from the baseline year [BASELINE IN FY 2002]

Definition and Validation

Definition: This is the measure of the number of terrorist organization assets that have been frozen and the number of transactions that have been blocked during the fiscal year. The number frozen and blocked is an indicator of the overall success Treasury law enforcement bureaus are having in current financing available to terrorists.

Verification and Validation: The Financial Crimes Enforcement Network, the Office of Foreign Assets Control, and the U.S. Customs Service will provide data for this measure from their internal tracking system.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Percentage of terrorist organization accounts frozen of those identified during the fiscal year [BASELINE IN FY 2002]

Definition and Validation

Definition: This is a measure of the percentage of accounts seized from the terrorist organizations that were identified during a fiscal year. Identifying terrorist organizations is not enough, cutting them off from their money is key. The goal is to achieve a high seizure rate percentage each year.

Verification and Validation: The Financial Crimes Enforcement Network, the Office of Foreign Assets Control, and the U.S. Customs Service will provide data from their internal tracking systems.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Economic conditions in developing countries

Definition and Validation

Definition: This measures the overall percent change in Gross Domestic Product (GDP) from the prior calendar year for all developing countries.

Verification and Validation: Economic data collected primarily from official foreign government sources, that is reviewed and/or supplemented by Federal agencies (e.g., State, CIA), international financial organizations (e.g., World Bank, International Monetary Fund (IMF), Organization for Economic Development and Cooperation (OECD), and private banking, investment, and trading firms). The accuracy of the data collected (which includes GDP, balance of payments, official financial reserves, rate of inflation, money supply, exchange rates, unemployment rate, budget deficit, and external debt) differs considerably by foreign country.

Data Accuracy: Reasonable Accuracy

[Return to Main Report](#)

Performance Measure

Economic conditions in transitional countries

Definition and Validation

Definition: This measures the overall percent change in Gross Domestic Product (GDP) from the prior calendar year for all

transitional countries.

Verification and Validation: Economic data collected primarily from official foreign government sources, that is reviewed and/or supplemented by Federal agencies (e.g., State, CIA), international financial organizations (e.g., World Bank, International Monetary Fund (IMF), Organization for Economic Development and Cooperation (OECD), and private banking, investment, and trading firms). The accuracy of the data collected that includes: gross domestic product (GDP), balance of payments, official financial reserves, rate of inflation, money supply, exchange rates, unemployment rate, budget deficit, and external debt differs considerably by foreign country.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

U.S. meets current financing commitments and pays all arrears to multilateral development banks (\$ in millions)

Definition and Validation

Definition: This measures the amount of unpaid commitments (arrears) the U.S. owes to the multilateral development banks.

Verification and Validation: The Department of the Treasury represents the U.S. Government in multilateral negotiations on the periodic replenishment of financial resources of the multilateral development banks (MDBs). These agreements detail the financial elements, including the U.S. contribution, and the policy framework within which these resources will be used. U.S. participation in these agreements requires Congressional authorization. As part of the annual appropriations process, Treasury requests funding sufficient to meet the U.S. annual scheduled contributions to respective institutions as contained in these agreements. Under-funding of the Administration's request can result in the accumulation of U.S. arrears to the MDBs.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Economic conditions in foreign countries that are major U.S. trading partners - Canada

Definition and Validation

Definition: This measure the percent change over the prior year in gross domestic product of six major U.S. trading partners.

Verification and Validation: Growth rate - Major U.S. trading partners are identified based on volume of trade with the U.S., as reported by the Department of Commerce. These major trading partners report their gross domestic product (GDP) to the International Monetary Fund and publicly.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Economic conditions in foreign countries that are major U.S. trading partners - European Union (Euro Area)

Definition and Validation

Definition: This measure the percent change over the prior year in gross domestic product of six major U.S. trading partners.

Verification and Validation: Growth rate - Major U.S. trading partners are identified based on volume of trade with the U.S., as reported by the Department of Commerce. These major trading partners report their gross domestic product (GDP) to the International Monetary Fund and publicly.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Economic conditions in foreign countries that are major U.S. trading partners - United Kingdom

Definition and Validation

Definition: This measure the percent change over the prior year in gross domestic product of six major U.S. trading partners.

Verification and Validation: Growth rate - Major U.S. trading partners are identified based on volume of trade with the U.S., as reported by the Department of Commerce. These major trading partners report their gross domestic product (GDP) to the

International Monetary Fund and publicly.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Economic conditions in foreign countries that are major U.S. trading partners - Mexico

Definition and Validation

Definition: This measure the percent change over the prior year in gross domestic product of six major U.S. trading partners.

Verification and Validation: Growth rate - Major U.S. trading partners are identified based on volume of trade with the U.S., as reported by the Department of Commerce. These major trading partners report their gross domestic product (GDP) to the International Monetary Fund and publicly.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Economic conditions in foreign countries that are major U.S. trading partners - Japan

Definition and Validation

Definition: This measure the percent change over the prior year in gross domestic product of six major U.S. trading partners.

Verification and Validation: Growth rate - Major U.S. trading partners are identified based on volume of trade with the U.S., as reported by the Department of Commerce. These major trading partners report their gross domestic product (GDP) to the International Monetary Fund and publicly.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Economic conditions in foreign countries that are major U.S. trading partners - China

Definition and Validation

Definition: This measure the percent change over the prior year in gross domestic product of six major U.S. trading partners.

Verification and Validation: Growth rate - Major U.S. trading partners are identified based on volume of trade with the U.S., as reported by the Department of Commerce. These major trading partners report their gross domestic product (GDP) to the International Monetary Fund and publicly.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Economic conditions in the global economy

Definition and Validation

Definition: This measures the percentage change over the prior year in the gross domestic product of global economy.

Verification and Validation: Based upon expert valuations collected and reported by the U.S. Customs Service, and compiled and reviewed by the U.S. Commerce Department.

Data Accuracy: Reasonable Accuracy

[Return to Main Report](#)

Performance Measure

Dollar value of U.S. exports of goods and services (\$ in billions)

Definition and Validation

Definition: This measures, in billions, the value of goods and services the U.S. exports to other countries

Verification and Validation: Based upon export valuations collected and reported by the U.S. Customs Service, and compiled and reviewed by the U.S. Commerce Department

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Level of U.S. direct investment abroad (\$ in billions)

Definition and Validation

Definition: Direct investments are those where the U.S. investor owns more than 10% of the equity in a foreign company

Verification and Validation: The amount of investment is measured by the U.S. Trade Representative, Department of State, Treasury, and the OECD.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Percent of borrowing policies and borrowing requirements announced to financial market participants in a timely manner

Definition and Validation

Definition: Treasury provides data to financial market participants with sufficient lead time to avoid surprises. This is a measure of the percentage of time announcements are made in a timely manner. The Office of the Under Secretary for Domestic Finance holds press conference announcements of borrowing policies, requirements and auction offerings giving a date and time for the auction to be held. The Bureau of Public Debt then conducts the auction at the announced time, tracks the results of the auction, and announces the auction results within an hour of the end of the auction.

Verification and Validation: The Federal Register contains the supporting data and serves as validation.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Percentage of performance targets met by Domestic Finance bureaus (BPD, FMS, and CDFI)

Definition and Validation

Definition: The Under Secretary for Domestic Finance has direct oversight of these bureaus and the CDFI Fund and meets regularly with them. Success in this measure is predicated upon success by the bureaus in meeting their published performance measures.

Verification and Validation: Performance reports issued by BPD, FMS, and CDFI

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Delivery date of Treasurywide financial statement and audit opinion (Target: February/UnQualified)

Definition and Validation

Definition: This measures the quality of Treasury's financial statements in terms of the opinion rendered as a result of an independent audit.

Verification and Validation: Verification of both measures can be made from reviewing the Department's Accountability Report and observing the audit opinion rendered as well as the date of the Secretary's Message within the report.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Number of open material weaknesses

Definition and Validation

Definition: Treasury wants to reduce and eventually eliminate the material weaknesses that currently exist within Treasury, while simultaneously taking actions which will serve to avoid new material weaknesses. Material weaknesses are significant problems with an organization's systems' reliability; controls on waste, fraud or abuse; mission performance; and/or

compliance with laws and regulations, identified, identified by the General Accounting Office, Treasury's Inspectors General, and/or Treasury bureaus.

Verification and Validation: Certification statement issued by head of bureau. Independent review to validate material weakness has been corrected.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Increase the percentage of allegations of discrimination for which Alternative Dispute Resolution processes are used

Definition and Validation

Definition: This is a revised measure. To increase opportunities for early resolution of discrimination complaints the Department uses Alternate Dispute Resolution (ADR) processes. In accordance with the Administrative Dispute Resolution Act of 1996, ADR is defined as any method used in lieu of litigation or the formal administrative processes, i.e. the Equal Employment Opportunity complaint process, to adjudicate disputes. The ADR process must adhere to core principles which include voluntary participation and confidentiality.

Verification and Validation: EEO Complaint processing data is reported annually to Treasury by each bureau. the department measures the use of ADR by dividing the number of employees who seek EEO counseling by the number who elect an ADR process.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Percent of new IT capital investments that are within costs, on schedule, and meeting performance targets

Definition and Validation

[MANAGEMENT CHALLENGE]

Definition: Under the Clinger-Cohen Act, new IT investments must be selected, controlled, and evaluated using appropriate investment criteria established by the Treasury Investment Review Board. Treasury's system for tracking these criteria is the Information Technology Investment Portfolio System.

Verification and Validation: Data will be maintained in the Information Technology Investment Portfolio System data is frequently updated and reviewed by Departmental management offices.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Percent of universal access to Department-wide financial analysis and reporting system

Definition and Validation

Definition: The goal of this measure is Department-wide use of purchased financial reporting software package to produce reliable and timely financial statements which can undergo the scrutiny of an independent audit. Reliability is measured by the type of audit opinion received, with an unqualified audit opinion indicating that financial statements are accurate and reliable in all material aspects.

Verification and Validation: Bureau and reporting entities can enter and retrieve TIER data through the Treasury Intranet and retrieve CFO Vision reports through the Intranet.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Percent of submission that are timely and contain quality data

Definition and Validation

Definition: This measures the percentage of bureaus and reporting entities whose data is input to Treasury's financial management system (TIER) within 3 days of the end of each month and meets all systems edits.

Verification and Validation: Bureau data submissions are received within 3 business days of the end of the prior month by July 3, 2002. Financial reports and statements are consistent with bureaus' reports generated from their respective core financial systems. Year-end consolidated financial statements are auditable by Treasury's auditors.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Percent of bureaus in compliance with FFMIA financial systems requirements

Definition and Validation

[MANAGEMENT CHALLENGE]

Definition: The Federal Financial Management Improvement Act Of 1996 requires agencies to maintain financial management systems that comply substantially with Federal financial management systems requirements. This measures the percentage of Treasury bureaus that are in compliant with these requirements.

Verification and Validation: Treasury's management and/or auditors do not find any instances of bureaus being in substantial non-compliance with the Act's requirements.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Percent of all (IT) systems that are currently certified and accredited to operate

Definition and Validation

[MANAGEMENT CHALLENGE]

Definition: Per OMB Circular A-130, Appendix III, all major application and general IT systems must be certified and accredited following appropriate Department/Agency IT Security guidelines. While OMB Circulars are not laws, OMB Circulars are considered mandatory guidelines for all U.S. government departments and agencies. These rules help ensure that the government is managed properly and in the best interests of the general public. To ensure that Treasury is following the rules outlined in OMB Circular A-130, in FY 2001, Treasury established a unique performance measure for Departmentwide use: the percentage of major application and general support information technology systems that are certified and accredited. Bureaus report the total number of major application and general support systems operating, and how many of these have been certified and accredited by a given date each year. The percentage is created by dividing the number of systems certified and accredited by the total number of systems in operation.

Verification and Validation: Data will be collected and maintained by Treasury's Office of Security. A survey will be done semiannually in FY 2001 and FY 2002, and annually thereafter by the Office of Security. The survey and resulting data will be reviewed by appropriately-cleared Departmental senior management. Data will be verified by Office of Security staff during annual compliance reviews. From these reviews, we are 100% confident that the number of certified and accredited systems is accurately reported by each bureau.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Percent of new non-IT capital investments (over \$1,000,000) meeting costs, schedule, and performance targets (using I-TIPS to track data) [BASELINE IN FY 2002]

Definition and Validation

Definition: New non-IT assets must meet cost, schedules, and performance goals for which they were acquired. Investment criteria to be established by the Treasury Capital Investment Review Board.

Verification and Validation: Data will be maintained in the Information Technology Investment Portfolio System, supplemented by the non-IT 300B's.

Data Accuracy: Reasonable Accuracy

[Return to Main Report](#)

Performance Measure

Percent of Treasury bureaus which have adequately implemented plans to address skills gaps related to mission critical occupations; and, which have adequately implemented succession plans to address anticipated turnover in key leadership positions

Definition and Validation

Definition: This measures the percentage of Treasury bureaus that have developed and implemented adequate strategies to determine skill gaps anticipated during the next five years in mission critical occupations. They must also have an adequate succession strategy in place to anticipate and fill behind vacancies in key leadership positions during the next five years.

Verification and Validation: Bureaus will be required to provide copies of their strategies for anticipating and resolving any skill gaps anticipated in mission critical occupations during the next five years. These strategies will be evaluated by OPP against OMB/OPM criteria for the adequacy of such plans. Similarly, bureaus will be required to develop and submit copies of their strategies for anticipating and planning for succession for vacancies in key leadership positions (GS-15 and above) during the next five years. These plans will be evaluated by OPP against OMB/OPP criteria for adequacy.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Percent of contract dollars over \$25,000 that are competed

Definition and Validation

Definition: This measure gauges the extent to which Treasury makes the maximum use of full and open competitive procedures in order to obtain the best value for the Government and to promote fairness in the marketplace in accordance with the Competition in Contracting Act of 1984.

Verification and Validation: The percentage of competition is determined by dividing the total dollars spent competitively by the total dollars spent competitively plus the total dollars spent non-competitively as defined by Block 29 A, C, or D on the SF 279. This information is reported to the Treasury Acquisition Data System (TADS) by the bureaus.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Percent of contract dollars awarded to small business enterprises

Definition and Validation

Definition: This measures whether Treasury ensures that a fair proportion of its dollars for purchases, contracts and subcontracts for products and services are awarded to small businesses. The goals for the Small Business Programs are established annually by the Small Business Administration after negotiations with Treasury.

Verification and Validation: The percentage of small business enterprise awards is determined by dividing the total appropriated dollars awarded to small business enterprises by the total appropriated dollars awarded to all businesses as reported by the bureaus to the Treasury Acquisition Data System.

Data Accuracy: Reasonable Accuracy

[Return to Main Report](#)

Performance Measure

Percent of total eligible service contracting dollars that are awarded on a performance-based contractual action basis in FY 2002

Definition and Validation

Definition: Treasury advocates and monitors the increased usage of performance-based contracting in accordance with governmentwide reforms. Performance-based contracting requires structuring of all aspects of the acquisition around the purpose of the work to be performed as opposed to how the work is to be performed or broad and imprecise statements of work. It emphasizes quantifiable, measurable performance requirements and quality standards in developing statements of work, selecting contractors, determining contract type, incentives, and performing contract administration, including surveillance.

Verification and Validation: The percentage of performance-based dollars is determined by dividing the total dollar obligated on performance-based contractual actions by the total dollars obligated for eligible performance-based contractual

actions as defined in the Federal Procurement Data Systems Manual and reported to the Treasury Acquisition Data System (TADS).

Data Accuracy: Reasonable Accuracy

[Return to Main Report](#)

Performance Measure

Percent of FTE on the FAIR Act inventory that will be competed in accordance with A-76 procedures

Definition and Validation

Definition: OMB Circular A-76, Performance of Commercial Activities, is designed to use competition to encourage change. Through A-76, the government determines whether it is less expensive to obtain the essential commercial activities from a contractor or to perform the work in-house.

Verification and Validation: The percentage of FTEs competed will be determined by dividing the number of FTEs competed by the number of FTEs cited in the FAIR Act inventory. This information will be collected from the Treasury bureaus.

Data Accuracy: Reasonable Accuracy

[Return to Main Report](#)

Performance Measure

Percent of applicable contract opportunities that will be posted on the government-wide point-of-entry Web site, FedBizOpps

Definition and Validation

Definition: Federal Business Opportunities, also known as FedBizOpps or FBO, is the federal government's designated electronic Web site for the commercial marketplace to find all contract opportunities required to be advertised by Federal procurement rules. FBO eases the public's effort to identify federal business opportunities and increases competition because the Government electronically posts all applicable procurement business notices and requirements in one place.

Verification and Validation: In FY 2002, Treasury will validate and verify completion of this goal by (1) surveying the bureaus to ensure that all eligible contract opportunities are being posted on FBO, and (2) reviewing FBO reports to the extent they are available.

Data Accuracy: Reasonable accuracy

[Return to Main Report](#)

Performance Measure

Percent of key treasury information systems security personnel who assess security training as "effective" [BASELINE IN FY 2002]

Definition and Validation

Definition: Three major documents provide nationally-accepted, vetted training guidelines for Information Systems Security staff. These documents are: National Institute of Standards and Technology Special Publication 800-16, "Information Technology Security Training Requirements: A Role and Performance-based Model," and the National Training Standards for Information Systems Security Officers, and for System Administrators in Information System Security ...

Verification and Validation: Survey data will be collected and maintained by Treasury's Office of Security. Beginning in FY 2003, data will be compiled semiannually by the Office of Security. The data will be reviewed by appropriately-cleared Departmental senior management. In FY 2002, we will explore training database collection mechanisms with Treasury's Human Resources Enterprise Solution Office, for use in FY 2003, and will make the results of this research available to the bureaus. FY 2002 survey data will be verified by Office of Security staff during annual compliance reviews. From these reviews, we expect to be 100% confident that the FY 2002 data reported is accurate.

Data Accuracy" Reasonable accuracy

Return to Main Report
Explanation:FY2001 **Performance Measure:** Percentage of performance targets met by enforcement bureaus and major offices (USCS, ATF, USSS, FLETC, FinCEN, TFF)

Explanation not provided.

Return to Main Report
Explanation:FY2001 **Performance Measure:** Economic conditions in developing countries

Performance +4.0%

Return to Main Report
Explanation:FY2001 **Performance Measure:** Economic conditions in transitional countries

Performance +4.7%

Return to Main Report
Explanation:FY2001 **Performance Measure:** U.S. meets current financing commitments and pays all arrears to multilateral development banks (\$ in millions)

Re-estimation

Return to Main Report
Explanation:FY2001 **Performance Measure:** Economic conditions in foreign countries that are major U.S. trading partners - Canada

Performance 1.4%

Return to Main Report
Explanation:FY2001 **Performance Measure:** Economic conditions in foreign countries that are major U.S. trading partners - European Union (Euro Area)

Performance 1.6%

Return to Main Report
Explanation:FY2001 **Performance Measure:** Economic conditions in foreign countries that are major U.S. trading partners - United Kingdom

Performance 2.3%

Return to Main Report
Explanation:FY2001 **Performance Measure:** Economic conditions in foreign countries that are major U.S. trading partners - Mexico

Performance 0.6%

Return to Main Report
Explanation:FY2001 **Performance Measure:** Economic conditions in foreign countries that are major U.S. trading partners - Japan

Performance -0.9%

Return to Main Report
Explanation:FY2001 **Performance Measure:** Economic conditions in foreign countries that are major U.S. trading partners - China

Performance 7.3%

Return to Main Report
Explanation:FY2001 **Performance Measure:** Dollar value of U.S. exports of goods and services (\$ in billions)

Performance \$1,025

Return to Main Report
Explanation:FY2001 **Performance Measure:** Level of U.S. direct investment abroad (\$ in billions)

Data will not be available until July 2002

Return to Main Report
Explanation:FY2001 **Performance Measure:** Percentage of performance targets met by Domestic Finance bureaus (BPD, FMS, and CDFI)

29 of the 33 performance measures with data available were met. Awaiting data for two measures from FMS which will not be available until 2002.

Return to Main Report
Explanation:FY2001 **Performance Measure:** Number of open material weaknesses

Return to Main Report

Explanation:FY2001 **Performance Measure:** Percent of new IT capital investments that are within costs, on schedule, and meeting performance targets

Explanation not provided.

Return to Main Report

Explanation:FY2001 **Performance Measure:** Percent of all (IT) systems that are currently certified and accredited to operate

Computer security has been seriously under-funded throughout Treasury for many years, and continues to be under-funded today.

Treasury is identifying internal resources which may be reallocated to support computer security programs. Current and new partnering relationships will be fostered to support these programs.

Return to Main Report

Explanation:FY2000 **Performance Measure:** Percentage of performance targets met by enforcement bureaus and major offices (USCS, ATF, USSS, FLETC, FinCEN, TFF)

ATF did not achieve its planned reduction in regulatory burden due to increased workload and unforeseen changes in laws and regulations.

Although substantial seizures were made, Customs did not achieve its planned target for seizures of drugs, due to sophisticated smuggling techniques and innovative changes in methods of concealment of drugs.

Customs did not achieve their target for number of seizures for outbound licensing violations, which can be attributed to limited resources and a continued decrease in the licensing requirements for the Department of Commerce.

The target for number of Tactical Cases completed within FinCEN was not met. As FinCEN continues to expand the number of Federal users with access to BSA information, FinCEN analysts will handle the more complex, high-profile cases to make the most effective use of our limited staff.

Return to Main Report

Explanation:FY2000 **Performance Measure:** Economic conditions in developing countries

Performance +5.8%

Return to Main Report

Explanation:FY2000 **Performance Measure:** Economic conditions in transitional countries

This measures the overall percent change in Gross Domestic Product from the prior calendar year for all transitional countries. Performance +6.3%

Return to Main Report

Explanation:FY2000 **Performance Measure:** Economic conditions in foreign countries that are major U.S. trading partners - Canada

Performance 4.4%

Return to Main Report

Explanation:FY2000 **Performance Measure:** Economic conditions in foreign countries that are major U.S. trading partners - European Union (Euro Area)

Performance 3.4%

Return to Main Report

Explanation:FY2000 **Performance Measure:** Economic conditions in foreign countries that are major U.S. trading partners - United Kingdom

Performance 2.9%

Return to Main Report

Explanation:FY2000 **Performance Measure:** Economic conditions in foreign countries that are major U.S. trading partners - Mexico

Performance 6.9%

Return to Main Report

Explanation:FY2000 **Performance Measure:** Economic conditions in foreign countries that are major U.S. trading partners - Japan

Performance 1.5%

Return to Main Report

Explanation:FY2000 **Performance Measure:** Economic conditions in foreign countries that are major U.S. trading partners - China

Performance 8.0%

[Return to Main Report](#)

Explanation:FY2000

Performance \$1,066 Billion

[Return to Main Report](#)

Explanation:FY2000

Performance \$1,245 billion

[Return to Main Report](#)

Explanation:FY2000

Explanation not provided.

[Return to Main Report](#)

Explanation:FY2000

Explanation not provided.

[Return to Main Report](#)

Explanation:FY2000

Performance Measure: Dollar value of U.S. exports of goods and services (\$ in billions)

Performance Measure: Level of U.S. direct investment abroad (\$ in billions)

Performance Measure: Percentage of performance targets met by Domestic Finance bureaus (BPD, FMS, and CDFI)

Performance Measure: Number of open material weaknesses

Performance Measure: Percent of new IT capital investments that are within costs, on schedule, and meeting performance targets

Bureau IT Initiatives: Although all Treasury bureaus have entered FY02 IT budget initiative data into I-TIPS, most of the initiatives did not provide cost, schedule and performance data that would enable the Department to determine the percentage of initiatives that are within costs, on schedule and meeting performance targets. As a result, there are not sufficient data to determine this performance measure.

CIRB IT initiatives: The Treasury CIRB is monitoring approved projects every six months; however, adequate cost, schedule and performance data have not been entered in I-TIPS in order to determine this performance measure. Using business cases and other data outside of I-TIPS, the CIRB has approved nine investments, three of which are IT. Of the nine approved, five are on cost, schedule and performance, one has been put on hold by the responsible bureau. Monitoring of the remaining three has not occurred since the approvals were only recently issued.

Corrective Actions: CIRB -- having the CIRB re-enforce the need for initiatives to provide this information.

Bureaus -- As necessary, the Department will provide additional high-level guidance and training for bureau representatives.

[Return to Main Report](#)

Explanation: FY1999

Performance Measure: Percentage of performance targets met by enforcement bureaus and major

offices (USCS, ATF, USSS, FLETC, FinCEN, TFF)

Several bureaus (primarily Customs) did not meet their targets. ***Actual does not meet target; Explanation Required.***
Actual does not meet target; Explanation Required. ***Actual does not meet target; Explanation Required.***

[Return to Main Report](#)

Explanation: FY1999

Performance +4.0%

Performance Measure: Economic conditions in developing countries

[Return to Main Report](#)

Explanation: FY1999

Performance +3.6%

Performance Measure: Economic conditions in transitional countries

[Return to Main Report](#)

Explanation: FY1999

Performance Measure: Economic conditions in foreign countries that are major U.S. trading

partners - Canada

Performance 5.1%

[Return to Main Report](#)

Explanation: FY1999

Performance Measure: Economic conditions in foreign countries that are major U.S. trading

partners - European Union (Euro Area)

Performance 2.6%

[Return to Main Report](#)

Explanation: FY1999

Performance Measure: Economic conditions in foreign countries that are major U.S. trading

partners - United Kingdom

Performance 2.1%

[Return to Main Report](#)

Explanation: FY1999

Performance Measure: Economic conditions in foreign countries that are major U.S. trading

partners - Mexico

Performance 3.7%

[Return to Main Report](#)

Explanation: FY1999

Performance Measure: Economic conditions in foreign countries that are major U.S. trading

partners - Japan

Performance 0.8%

[Return to Main Report](#)

Explanation: FY1999

Performance \$957

Performance Measure: Dollar value of U.S. exports of goods and services (\$ in billions)

[Return to Main Report](#)

Explanation: FY1999

Performance \$1,131 billion

Performance Measure: Level of U.S. direct investment abroad (\$ in billions)

[Return to Main Report](#)

Explanation: FY1999

Performance Measure: Percentage of performance targets met by Domestic Finance bureaus

(BPD, FMS, and CDFI)

Actual does not meet target; Explanation Required.

[Return to Main Report](#)

Explanation: FY1999

Performance Measure: Delivery date of Treasurywide financial statement and audit opinion

(Target: February/UnQualified)

A qualified opinion was maintained for FY 1999 and delivered on 3/25/99

[Return to Main Report](#)

Explanation: FY1999

Performance Measure: Percent of new IT capital investments that are within costs, on schedule,

and meeting performance targets

Target was to determine bureau baselines. All bureaus are using ITIPS in either full or learning mode